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PTO/SB/21 (08-08)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/725,954
	Filing Date	12/02/2003
	First Named Inventor	Jeffrey L. Sands et al.
	Art Unit	3651
	Examiner Name	Rakesh Kumar
Total Number of Pages in This Submission	Attorney Docket Number	25570-48831

PTO  
SEP 29 2008  
PATENT & TRADEMARK OFFICE

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Substitute Form 1449/PTO
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	6 references
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<b>Remarks</b>	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Ryndak& Suri LLP		
Signature	<i>Eric H. Weimers</i>		
Printed name	Eric H. Weimers		
Date	September 25, 2008	Reg. No.	33,048

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Eric H. Weimers</i>		
Typed or printed name	Eric H. Weimers	Date	09/25/2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2008

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

### Complete if Known

Application Number 10/725,954  
Filing Date 12/02/2003  
First Named Inventor Jeffrey L Sands et al  
Examiner Name Rakesh Kumar  
Art Unit 3651  
Attorney Docket No. 25570-48831

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 50-0503 Deposit Account Name: Ryndak & Suri LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Fee (\$)  
50

Small Entity Fee (\$)  
25

Each independent claim over 3 (including Reissues)

210

105

Multiple dependent claims

370

185

Total Claims Extra Claims Fee (\$)

Fee Paid (\$)

- 20 or HP = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$)

Fee Paid (\$)

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

Fee Paid (\$)

- 100 = / 50 = (round up to a whole number) x =

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Supplemental Information Disclosure Statement

180

#### SUBMITTED BY

Signature

*Eric H. Weimers*

Registration No. 33,048  
(Attorney/Agent)

Telephone 312-214-7770

Name (Print/Type) Eric H. Weimers

Date 09/25/2008

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL

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☐ Applicant claims small entity status. See 37.CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

### Complete if Known

Application Number 10/725,954  
Filing Date 12/02/2003  
First Named Inventor Jeffrey L Sands et al.  
Examiner Name Rakesh Kumar  
Art Unit 3651  
Attorney Docket No. 25570-48831

SEP 29 2008

### METHOD OF PAYMENT (check all that apply)

- ☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_
- ☒ Deposit Account Deposit Account Number: 50-0503 Deposit Account Name: Ryndak & Suri LLP
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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#### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 3 or HP = _____ x _____ = _____		
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Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

- 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

#### 4. OTHER FEE(S)

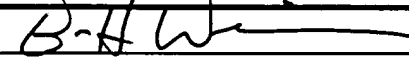
Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Supplemental Information Disclosure Statement

Fees Paid (\$)

180

#### SUBMITTED BY

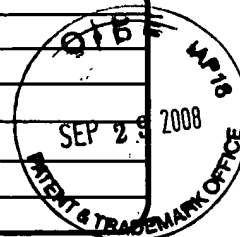
Signature  Registration No. 33,048 Telephone 312-214-7770  
Name (Print/Type) Eric H. Weimers Date 09/25/2008

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b> <b>FEE TRANSMITTAL</b> <b>For FY 2008</b>		<b>Complete if Known</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/725,954
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 180		Filing Date	12/02/2003
		First Named Inventor	Jeffrey L Sands et al.
		Examiner Name	Rakesh Kumar
		Art Unit	3651
		Attorney Docket No.	25570-48831



**METHOD OF PAYMENT** (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-0503 Deposit Account Name: Ryndak & Suri LLP

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**FEE CALCULATION**

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	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
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Design	210	105	100	50	130	65	
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Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fees Paid (\$)
_____	_____	_____ / 50 = _____ (round up to a whole number)	_____ x _____ = _____	

**4. OTHER FEE(S)**

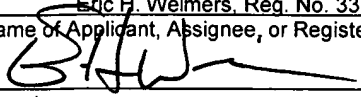
Description	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): Supplemental Information Disclosure Statement	180

<b>SUBMITTED BY</b>		
Signature	<u>[Signature]</u>	Registration No. (Attorney/Agent) 33,048
Name (Print/Type)	Eric H. Weimers	Telephone 312-214-7770
		Date 09/25/2008

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 25, 2008.

Eric H. Weimers, Reg. No. 33,048  
Name of Applicant, Assignee, or Registered Rep.  
  
Signature Date 09/25/08



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Jeffrey L. Sands et al.  
Appl. No. : 10/725,954  
Filed : 12/02/2003  
Title : Automated freezer component  
Grp./A.U. : 3651  
Examiner : Rakesh Kumar

Confirmation No. 2882

Docket No. : 25570-48831  
Customer No.:021788

09/29/2008 SDENBOB3 00000018 10725954

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P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§1.97 and 1.98, and in compliance with 37 § C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached substitute Form 1449. A copy of each foreign patent document, publication or other information is enclosed.

Regarding the documents listed on the attached form, Applicants believe the same may qualify as "prior" art to this application and should be treated accordingly, although Applicants reserve the right to contest the prior art status of any document cited herein.

Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached Substitute Form 1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☒ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."

Pursuant to 37 C.F.R. 1.97(b) (c) or (d) this Statement is being filed (one must be checked):

- (a) ☐ Within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- (b) ☐ Within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application;
- (c) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in *the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0503 for consideration of this Statement.*
- (d) ☐ Before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- (e) ☒ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) ☐ The required certification is given below, or
- (2) ☒ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) ☐ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0503.
- (f) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) \_\_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p),  
or

(2) \_\_\_\_ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account  
No. 50-0503.

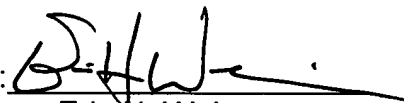
Certification (if applicable)

(a) \_\_\_\_ The undersigned hereby certifies that each item of information contained  
in this Statement was cited in a communication from a foreign patent office in a  
counterpart foreign application not more than 3 months prior to the filing of this  
Statement.

(b) \_\_\_\_ The undersigned hereby certifies that no item of information  
contained in this Statement was cited in a communication from a  
foreign patent office in a counterpart foreign application, and, to the  
undersigned's knowledge after making reasonable inquiry, no item  
of information contained in this Statement was known to any  
individual designated in 37 C.F.R. 1.56(c) more than 3 months prior  
to the filing of this Statement.

The Commissioner is hereby authorized to charge any fees or credit any  
overpayment to Deposit Account No. 50-0503.

Respectfully submitted,

By: 

Eric H. Weimers  
Registration No. 33,048  
Attorney for Applicants

Dated: September 25, 2008

RYNDAK & SURI LLP  
200 W. Madison Street, Suite 2100  
Chicago, IL 60606  
312-214-7770 (telephone)  
312-214-7715 (facsimile)